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Application Number 09/733,896

Filing Date December 12, 2000

First Named Inventor Carlos O. PINZON et al.

Art Unit 1711

Examiner Name U.K. RAJGURU

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|  |            |           |                    | Examiner Name          | U.K. RAJGURU            |  |  |
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| Examiner<br>Initial BAD | MANEG  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |
|                         |        | Estee Lauder's Response to Plaintiff's Third Set of Interrogatories (Nos. 8-13), dated June 21, 2005, in the on-going litigation L'Oreal S.A., et al. v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)                             |             |
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|                         |        | Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05/25.0659-01) dated August 11, 2004 (Ex. Pryor)  |             |
|                         |        | Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated February 8, 2005 (Ex. Pryor)   |             |
|                         |        | Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated May 7, 2003 (Ex. Pryor)  |             |
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|                         |        | Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated January 28, 2003 (Ex. Rajguru)  |             |
|                         |        | Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated July 19, 2002 (£x. Rajguru)   |             |

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| IDS Form PTO/SB/08: Substitute for form 1449A/PTO                          | Complete if Known      |                         |  |
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|  | Application Number     | 09/733,896              |  |
| INFORMATION DISCLOSURE   | Filing Date            | December 12, 2000       |  |
| STATEMENT BY APPLICANT  O Statement By Applicant  O Statement By Applicant | First Named Inventor   | Carlos O. PINZON et al. |  |
| BULLEWEN I BY APPLICANT  | Art Unit               | 1711                    |  |
| O V Guse as many sheets as necessary)                                      | Examiner Name .        | U.K. RAJGURU            |  |
| Sheet C 8 of 11  | Attorney Docket Number | 05725.0806-00000        |  |

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| E.                   |     | NON PATENT LITERATURE DOCUMENTS   |             |
| Examined<br>Initials | No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |
|                      |     | Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated November 18, 2003 (Ex. Rajguru)   | ,           |
|                      |     | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated April 15, 2002 (Ex. Berman)   |             |
|                      |     | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated April 23, 2003 (Ex. Wells)  |             |
|                      |     | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725,0809) dated August 29, 2002 (Ex. Berman)  |             |
|                      |     | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05/25.0809) dated May 6, 2004 (Ex. Yu)  |             |
|                      |     | Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 25, 2005 (Ex. Yoon)   |             |
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|                      |     | Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated April 9, 2003 (Ex. Lamm)  |             |
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|                      |     | Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated August 13, 2003 (Ex. Howard)  |             |

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| 155 TOTAL TOTAL SUBSLICIO IN TOTAL TO             | Application Number     | 09/733,896              |  |
| INFORMATION DISCLOSURE                            | Filing Date            | December 12, 2000       |  |
|   | First Named Inventor   | Carlos O. PINZON et al. |  |
| STATEMENT BY APPLICANT                            | Art Unit               | 1711                    |  |
| O I P RUse as many sheets as necessary)           | Examiner Name          | U.K. RAJGURU            |  |
| Sheet 9 of 11                                     | Attorney Docket Number | 05725.0806-00000        |  |

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| Examinar<br>Initials | No.    | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher_city and/or country where published. | Translation |
|                      |        | Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated July 16, 2002 (Ex. Howard)   |             |
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|                      |        | Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832-20) dated April 29, 2005 (Ex. Venkat)   |             |
|                      |        | Office Action in co-pending Application No. 09/899,909 (Attorney Docket No. 05725,0920) dated December 18, 2001 (Ex. Dodson)   | ·           |
|                      |        | Office Action in co-pending Application No. 09/937 314 (Attorney Docket No. 05725.0932) dated May 19, 2004 (Ex. Venkat)  |             |
|                      | /      | Office Action in co-pending Application No. 09/971,028 (Attorney Docket No. 05725.0895) dated August 11, 2003 (Ex. Wang)   |             |
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|                      |        | Office Action in co-pending Application No. 10/012,029 (Attorney Docket No. 05725.1003) dated November 20, 2002 (Ex. Venkat)   |             |
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|                      |        | Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005) dated August 9, 2004 (Ex. Wells)   |             |
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| DS Form P10/36/06. Substitute for form 1449/0P10                                 | Complete if Known  Application Number 09/733,896  Filing Date December 12, 2000  First Named Inventor Carlos O. PINZON et al.  Art Unit 1711  Examiner Name U.K. RAJGURU | 09/733,896              |  |
| INFORMATION DISCLOSURE   | Filing Date  | December 12, 2000       |  |
|  | First Named Inventor   | Carlos O. PINZON et al. |  |
| STATEMENT BY APPLICANT   | Art Unit   | 1711                    |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary) | Examiner Name  | U.K. RAJGURU            |  |
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| Ž.       | A.              | NON PATENT LITERATURE DOCUMENTS   |             |
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| Initials | NAME Ite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |
|          |                 | Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated September 22, 2004 (Ex. Venkat)   |             |
|          |                 | Office Action in co-pending Application No. 10/047,987 (Atterney Docket No. 05725.1020) dated December 11, 2003 (Ex. Venkat)  | •           |
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|          |                 | Office Action in co-pending Application No. 10/162,830 (Attorney Docket No. 05725,0795-01) dated April 4, 2005 (Ex. Venkat)   |             |
|          |                 | Office Action in co-pending Application No. 10/182,830 (Attorney Docket No. 05725.0795-01) dated August 24, 2004 (Ex. Venkat)   |             |
|          |                 | Office Action in co-pending Application No. 10/198,931 (Attorney Docket No. 05725.0896) dated December 18, 2003 (Ex. Venkat)  |             |
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|          |                 | Office Action in co-pending Application No. 10/203,018 (Attorney Docket No. 05725.0816-01) dated May 19, 2004 (Ex. Venkat)  | <b>/</b>    |
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|          |                 | Office Action in co-pending Application No. 10/203,375 (Attorney Docket No. 06028.0018-00), dated May 13, 2005 (Ex. Punnose)  |             |
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|          |                 | Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05725.1187) dated October 1, 2004 (Ex. Venkat)  |             |
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| ·        |                 | Office Action in co-pending Application No. 10/699,780 (Attorney Docket No. 05725.0895-02) dated September 22, 2004 (Ex. Venkat)  |             |
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|          |                 | Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05725.1338-01) dated June 15, 2005 (Ex. Venkat)   |             |
|          |                 | Office Action in co-pending Application No. 10/787,440 (Attorney Docket No. 05725.0816-02) dated August 24, 2004 (Ex. Venkat)   |             |

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT             | Application Number     | 09/733,896              |  |
| INFORMATION DISCLOSURE                                    | Filing Date            | December 12, 2000       |  |
|   | First Named Inventor   | Carlos O. PINZON et al. |  |
| STATEMENT BY APPLICANT                                    | Art Unit               | 1711                    |  |
| STATEMENT BY APPLICANT  (Use as many sheets as necessary) | Examiner Name          | U.K. RAJGURU            |  |
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|                | NON PATENT LITERATURE DOCUMENTS   |             |
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| Interest Andre | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |
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|                | Origins Full StoryTM Lush lash mascara product packaging, believed to have first been sold in 2003  |             |
|                | Richard J. Lewis Sr., "Ricinoleic Acid," Hawley's Condensed Chemical Dictionary 972 (13th. 1997)  |             |
|                | U.S. District Court for the District of New Jersey Civil Docket for L'Oreal S.A. et al. v. Estee Lauder Companies, Inc., et al., Civ. No. 04-1660 (HAA) (filed April 7, 2004) (retrieved January 2, 2005)   |             |
|                | Harry's Cosmeticology 375-383 (J. B. Wilkinson & R. J. Moore eds., Chemical Pub. 7th ed. 1982)  |             |

| Examiner  | Date       |  |
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| Examiner  | Cite | Document Number             | Issue or                       | Name of Patentee or         | Pages, Columns, Lines, Where                    |
| Initials  | No.  | Number-Kind Code (if known) | Publication Date<br>MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
| ~   |      | 3,157,681                   | 11/17/1964                     | Fischer                     |   |
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|----------------------|--------------------------|---|--------------------------------|--|---|------------------|--|
| Examiner<br>Initials | Cite<br>No.              | Foreign Patent Document  Country Code Number Kind Code (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant Figures<br>Appear | Translation      |  |
| n                    |                          | FR 2 804 014  | 7/27/2001                      |  |   | Abstract         |  |
| ~                    |                          | FR 2 817 742  | 6/14/2002                      |  |   | Abstract         |  |
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| ~                    |                                 | English Language Abstract of FR 2 804 014 from esp@cenet  |             |  |  |
| ~                    |                                 | English Language Abstract of FR 2 817 742 from esp@cenet  |             |  |  |
| ~                    |                                 | English language abstract of JP 02/207014 from Patent Abstracts of Japan  |             |  |  |
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Examiner Signature Date Considered